

## **R4-6-212. Clinical Supervision Requirements**

- A. The Board shall accept hours of clinical supervision submitted by an applicant if the clinical supervision meets the requirements specified in R4-6-404, R4-6-504, R4-6-604, or R4-6-706, as applicable to the license for which application is made, and was provided by one of the following:
  - 1. A clinical social worker, professional counselor, independent marriage and family therapist, or independent substance abuse counselor who:
    - a. Holds an active and unrestricted license issued by the Board, and
    - b. Has complied with the educational requirements specified in R4-6-214;
  - 2. A mental health professional who holds an active and unrestricted license issued under A.R.S. Title 32, Chapter 19.1 as a psychologist and has complied with the educational requirements specified in R4-6-214; or
  - 3. An individual who:
    - a. Holds an active and unrestricted license to practice behavioral health,
    - b. Is providing behavioral health services in Arizona:
      - i. Under a contract or grant with the federal government under the authority of 25 U.S.C. § 5301 or § 1601-1683, or
      - ii. By appointment under 38 U.S.C. § 7402 (8-11), and
    - c. Has complied with the educational requirements specified in R4-6-214.
- B. Unless an exemption was obtained under R4-6-212.01, the Board shall accept hours of clinical supervision submitted by an applicant if the clinical supervision was provided by an individual who:
  - 1. Was qualified under subsection (A), and
  - 2. Was employed by the behavioral health entity at which the applicant obtained hours of clinical supervision.
- C. The Board shall accept hours of clinical supervision submitted by an applicant if the clinical supervision includes all of the following:
  - 1. Reviewing ethical and legal requirements applicable to the supervisee's practice, including unprofessional conduct as defined in A.R.S. § 32-3251;
  - 2. Monitoring the supervisee's activities to verify the supervisee is providing services safely and competently;
  - 3. Verifying in writing that the supervisee provides clients with appropriate written notice of clinical supervision, including the means to obtain the name and telephone number of the supervisee's clinical supervisor;
  - 4. Contemporaneously written documentation by the clinical supervisor of at least the following for each clinical supervision session at each entity:
    - a. Date and duration of the clinical supervision session;
    - b. A detailed description of topics discussed to include themes and demonstrated skills;
    - c. Beginning on July 1, 2006, name and signature of the individual receiving clinical supervision;
    - d. Name and signature of the clinical supervisor and the date signed; and
    - e. Whether the clinical supervision occurred on a group or individual basis;
  - 5. Maintaining the documentation of clinical supervision required under subsection (C)(4) for at least seven years;
  - 6. Verifying that clinical supervision was not acquired from a family member as prescribed in R4-6-101(A)(29);
  - 7. Conducting on-going compliance review of the supervisee's clinical documentation to ensure the supervisee maintains adequate written documentation;
  - 8. Providing instruction regarding:
    - a. Assessment,
    - b. Diagnosis,
    - c. Treatment plan development, and
    - d. Treatment;

9. Rating the supervisee's overall performance as at least satisfactory, using a form approved by the Board; and
  10. Complying with the discipline-specific requirements in Articles 4 through 7 regarding clinical supervision.
- D.** The Board shall accept hours of clinical supervision submitted by an applicant for licensure if:
1. At least two hours of the clinical supervision were provided in a face-to-face setting during each six-month period;
  2. No more than 90 hours of the clinical supervision were provided by videoconference and telephone.
  3. No more than 15 of the 90 hours of clinical supervision provided by videoconference and telephone were provided by telephone; and
  4. Each clinical supervision session was at least 30 minutes long.
- E.** Effective July 1, 2006, the Board shall accept hours of clinical supervision submitted by an applicant if at least 10 of the hours involve the clinical supervisor observing the supervisee providing treatment and evaluation services to a client. The clinical supervisor may conduct the observation:
1. In a face-to-face setting,
  2. By videoconference,
  3. By teleconference, or
  4. By review of audio or video recordings.
- F.** The Board shall accept hours of clinical supervision submitted by an applicant from a maximum of six clinical supervisors.
- G.** The Board shall accept hours of clinical supervision obtained by an applicant in both individual and group sessions, subject to the following restrictions:
1. At least 25 of the clinical supervision hours involve individual supervision, and
  2. Of the minimum 100 hours of clinical supervision required for licensure, the Board may accept:
    - a. Up to 75 of the clinical supervision hours involving a group of two supervisees; and
    - b. Up to 50 of the clinical supervision hours involving a group of three to six supervisees.
- H.** If an applicant provides evidence that a catastrophic event prohibits the applicant from obtaining documentation of clinical supervision that meets the standard specified in subsection (C), the Board may consider alternate documentation.

#### **R4-6-213. Registry of Clinical Supervisors**

- A.** The Board shall maintain a registry of individuals who have met the educational requirements to provide supervision that are specified in R4-6-214.
- B.** To be included on the registry of clinical supervisors, an individual shall submit the following to the Board:
  - 1. A registration form approved by the Board;
  - 2. Evidence of being qualified under R4-6-212(A); and
  - 3. Documentation of having completed the education required under R4-6-214.
- C.** The Board shall include an individual who complies with subsection (B) on the registry of clinical supervisors. To remain on the registry of clinical supervisors, an individual shall submit the following to the Board:
  - 1. A registration form approved by the Board;
  - 2. Evidence of being qualified under R4-6-212(A); and
  - 3. Documentation of having completed the continuing education required under R4-6-214.
- D.** If the Board notified an individual before November 1, 2015, that the Board determined the individual was qualified to provide clinical supervision, the Board shall include the individual on the registry maintained under subsection (A). To remain on the registry of clinical supervisors, the individual shall comply with subsection (C).

#### **R4-6-214. Clinical Supervisor Educational Requirements**

- A. The Board shall consider hours of clinical supervision submitted by an applicant only if the individual who provides the clinical supervision is qualified under R4-6-212(A) and complies with the following:
1. Completes one of the following:
    - a. At least 12 hours of training that meets the standard specified in R4-6-802(D), addresses clinical supervision, and includes the following:
      - i. Role and responsibilities of a clinical supervisor;
      - ii. Skills in providing effective oversight of and guidance to supervisees who diagnose, create treatment plans, and treat clients;
      - iii. Supervisory methods and techniques; and
      - iv. Fair and accurate evaluation of a supervisee's ability to plan and implement clinical assessment and treatment;
    - b. An approved clinical supervisor certification from the National Board for Certified Counselors/Center for Credentialing and Education;
    - c. A clinical supervisor certification from the International Certification and Reciprocity Consortium; or
    - d. A clinical member with an approved supervisor designation from the American Association of Marriage and Family Therapy; and
  2. Completes the three clock hour Clinical Supervision Tutorial on Arizona Statutes/Regulations.
- B. To continue providing clinical supervision, an individual qualified under subsection (A)(1)(a) shall, at least every three years, complete a minimum of nine hours of continuing training that:
1. Meets the standard specified in R4-6-802(D);
  2. Concerns clinical supervision;
  3. Addresses the topics listed in subsection (A)(1)(a); and
  4. Includes the three clock hour Clinical Supervision Tutorial on Arizona Statutes/Regulations.
- C. To continue providing clinical supervision, an individual qualified under subsections (A)(1)(b) through (d) shall:
1. Provide documentation that the national certification or designation was renewed before it expired, and
  2. Complete the Clinical Supervision Tutorial on Arizona Statutes/Regulations.
- D. An applicant submitting hours of clinical supervision by an individual qualified by meeting the clinical supervision education requirements in effect before the effective date of this Section shall provide documentation that the clinical supervisor was compliant with the education requirements during the period of supervision.